

03-23-01

Attorney Docket No. 2271/61807

Total Pages 3

Express Mail Label No. EL628789409US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ASSISTANT COMMISSIONER FOR PATENTS

Box Patent Application

Washington, D. C. 20231

Sir:

Transmitted herewith for filing under 37 CFR 1.53(b) are the specification and claims of the nonprovisional patent application of:

Yuki UCHIDA

Inventor(s)

for

PRINTING SYSTEM, APPARATUS AND METHOD FOR
AUTOMATICALLY PRINTING RECORDS OF ELECTRONIC TRANSACTIONS

Title of Invention

J1040 U.S. PRO

09/815134

03/22/01

APPLICATION ELEMENTS ENCLOSED:

1. ☒ Specification (total pages 24 including:
 - a. 9 pages of claims (23 claims)
 - b. 1 page(s) Abstract
2. ☒ 7 sheets of ☒ informal ☐ formal drawings (Figs. 1-7)
3. ☒ Oath or declaration of Applicant(s) (3 total pages)
 - a. ☒ Newly executed (original)
 - b. ☐ Unexecuted
 - c. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Item 14 completed)
4. ☐ Deletion of Inventor(s)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
5. ☐ Incorporation By Reference
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied in item 3b is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Microfiche Computer Program (Appendix)

APPLICATION PARTS ENCLOSED:

7. ☒ An assignment of the invention to Ricoh Company, Ltd. and Ricoh Corporation (cover sheet & document(s))
8. ☐ 37 CFR 3.73(b) Statement (when there is an assignee)
9. ☒ Power of Attorney
 - a. ☒ Newly executed (original)
 - b. ☐ Unexecuted
 - c. ☐ Copy from a prior application

03/22/01
J1040 U.S. PRO
09/815134

09/815134, 03/22/01

10. ___ Information Disclosure Statement (IDS)/PTO-1449

___ Copies of IDS Citations

11. ___ A Preliminary Amendment

12. X Return Receipt Postcard (MPEP 503)

13. ___ Applicant(s) hereby establish small entity status under 37 C.F.R. §1.9 and §1.27

14. ___ If a Continuing Application, *check the appropriate box and supply the requisite information:*

☐ Continuation of prior application No.: _____ filed _____

☐ Divisional of prior application No.: _____ filed _____

☐ Continuation-in-part (CIP) of prior application No.: _____ filed _____

15. ___ Amend the specification by inserting before the first line of page one: --This is a ___continuation
___ divisional of application Serial No. _____ filed _____--

16. ___ Priority of application No. _____ filed in _____ on _____. Applicant hereby claims priority under 35
U.S.C. § 119.

___ A certified copy of priority application No. _____ is enclosed

___ A certified copy of priority application No. _____ has been filed in prior application
S.N. _____ filed _____

17. X Other (identify) Request and Certification Under 35 USC 122(b)(2)(B)(i)

The filing fee is calculated as follows:

CLAIMS AS FILED, LESS ANY CLAIMS CANCELLED BY AMENDMENT

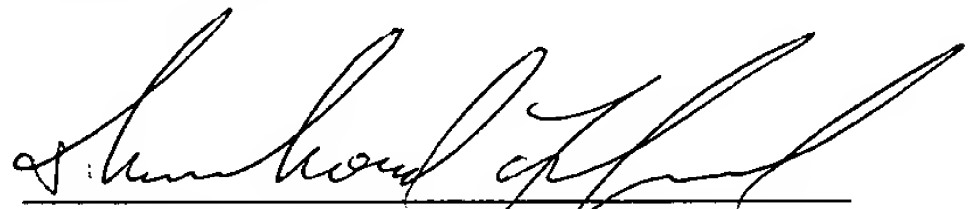
					Rate			Fee	
	Number Filed		Number Extra*		Small Entity	Other Entity		Small Entity	Other Entity
Total Claims	23 - 20	=	3	x	\$ 9	\$ 18	=	\$ 0	\$ 54
Indep. Claims	6 - 3	=	3	x	\$ 40	\$ 80	=	\$ 0	\$ 240
Multiple Dependent Claims Presented: Yes ___ No <u>X</u>					\$135	\$270	=	\$ 0	\$ 0
If the difference in column 1 is less than zero, enter "0" in column 2					Basic Fee			\$ 355	\$ 710
					Total Fee			\$	\$ 1,004

18. X A check in the amount of \$ 1,004.00 to cover the filing fee.
19. X A check in the amount of \$ 40.00 for recordation of the Assignment.
20. X The Commissioner is hereby authorized to charge any additional fees which may be required in connection with the following or credit any overpayment to Deposit Account No. 03-3125.
- X Filing fees under 37 C.F.R. § 1.16.
- X Patent application processing fees under 37 C.F.R. §1.17.
- _____ The issue fee set in 37 C.F.R. §1.18 at or before mailing of the Notice of Allowance, pursuant to 37 C.F.R. §1.311(b).
21. X Three copies of this sheet are enclosed.
22. _____ Other (identify) _____

CORRESPONDENCE ADDRESS:

Ivan S. Kavrukov
Registration No. 25,161
Cooper & Dunham LLP
1185 Avenue of the Americas
New York, New York 10036
United States of Americas
Tel.: (212) 278-0400
Fax: (212) 391-0525

Respectfully submitted,



Richard F. Jaworski, Reg. No. 33,515
Attorney for Applicant
c/o Cooper & Dunham LLP
1185 Avenue of the Americas
New York, New York 10036
Tel.: (212) 278-0400

March 22, 2001
Date

FILED THE 23RD

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Yuki UCHIDA

Serial No. :

Group Art Unit:

Date Filed : Concurrently Herewith

Examiner:

For : PRINTING SYSTEM, APPARATUS AND METHOD
FOR AUTOMATICALLY PRINTING RECORDS OF
ELECTRONIC TRANSACTIONS

1185 Avenue of the Americas
New York, N.Y. 10036

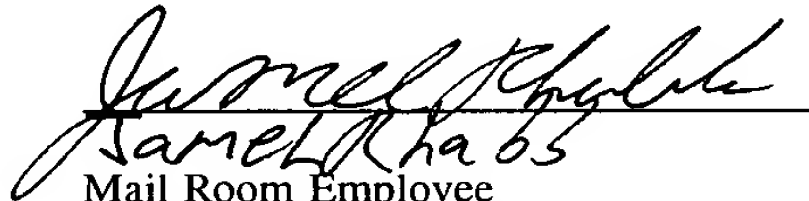
Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

EXPRESS MAIL
LETTER OF TRANSMITTAL

Express Mail mailing label number: EL628789409US

Date of Deposit: March 22, 2001

I hereby certify that the above-identified application (consisting of a 24-page specification (including 9 pages of claims (23 claims) and a 1 page Abstract), and 7 sheets of informal drawings (Figs. 1-7)), 3 copies of an Application Transmittal Form, executed Declaration and Power of Attorney, Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i), executed Assignment form and attached form PTO-1595 Cover Sheet, a ck. for the \$40 recordation fee, and a check for the \$1,004 filing fee, are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.


Mail Room Employee
Depositing Express Mail Material

Attorney for Applicant:
IVAN S. KAVRUKOV, Reg. No. 25,161
Cooper & Dunham LLP
Tel: (212) 278-0400

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**REQUEST AND CERTIFICATION
UNDER
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor

Yuki UCHIDA

Title

Printing System, Apparatus And Method For
Automatically Printing Records Of Electronic Transactions

Atty Docket Number

2271/61807

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

March 22, 2001

Date



Signature

Richard F. Jaworski, Reg. No. 33,515

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.**

FORM PTO/SB/35 (11-00)